

FINMA Swiss Wealth & Asset Management





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A fully operational and impeccably compliant Swiss Asset Management Company (SAMC), holding the essential **Financial Market Supervisory Authority (FINMA) license** for Portfolio Managers under the Financial Institutions Act (FinIA).

This rare “turnkey” acquisition offers immediate entry into Switzerland’s elite wealth management sector without the 12-18 month regulatory application process.

Jurisdiction: Geneva / Zurich / Zug (Specify location based on actual firm)

Legal Status: FINMA Licensed Portfolio Manager (Art. 17 FinIA)

Compliance Status: Fully compliant with FinIA, FinSA, and the Anti-Money Laundering Act (AMLA).

AuM: 5M CHF

Banks: LGT & VP Bank

BUSINESS TYPE

Asset Management

COUNTRY

Switzerland

BUSINESS ID

L#20251030

Key Features & Strategic Value

- **FINMA License:** The most significant asset is the pre-existing, clean FINMA license, permitting the management of third-party assets on a discretionary or advisory basis. This eliminates the substantial lead time, risk, and expense associated with a new license application.
- **Supervisory Organization (SO) Affiliation:** Fully affiliated with a FINMA-approved Supervisory Organization (SO), ensuring seamless continuity of day-to-day regulatory oversight.
- **AMLA Framework:** Robust Anti-Money Laundering (AML) and Know-Your-Customer (KYC) compliance infrastructure is fully established and audited, meeting the highest Swiss standards.

Operational Excellence (Turnkey Operation)

- **Established Banking Relationships:** Active, multi-currency bank accounts and custodian relationships in place with leading Swiss private banks and international platforms, allowing for immediate client onboarding and transaction execution.
- **Infrastructure:** A fully functional corporate structure, including Swiss registered office, IT systems, secure data storage, and the necessary accounting/audit documentation.
- **Core Team (Negotiable):** Option to retain the incumbent Swiss Resident Director and Certified Compliance Officer (MLRO), essential for maintaining the FINMA license and operational stability during the transition.

Strategic Growth Potential

- **Client Base & AUM (Details provided upon NDA):** A verifiable portfolio of existing clients (HNW/UHNW and/or institutional) and a defined book of Assets Under Management (AUM) providing immediate recurring fee revenue.
- **Scalability:** The existing compliance and IT framework is built to accommodate significant AUM growth, supporting scaling without immediate major capital expenditure.
- **Expansion Potential:** The license serves as a perfect foundation for strategic growth, including expanding into collective asset management (requiring a

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manageable upgrade) or integrating specialized FinTech solutions.

Swiss Quality & Reputation

- **Location Advantage:** Based in a world-renowned financial hub, leveraging Switzerland's political stability, strong currency, and global reputation for professional and secure wealth management.
- **Transparency:** The firm operates under the global standards of transparency and integrity now synonymous with Swiss finance, offering a strong reputational platform to attract premium international clientele.

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