

# Swiss Para-Banking Financial Services Business with payment services (SR0 Licence)





## Swiss Para-Banking Financial Services Business with payment services (SRO Licence)

Swiss Para Banking SRO (self-regulatory organization) Licence is a type of license issued by the Swiss Financial Market Supervisory Authority (FINMA) to non-banking entities that engage in financial intermediation activities. These activities include providing payment services, issuing e-money, offering investment advice, and managing assets on behalf of clients.

The Swiss Para Banking SRO Licence is important for non-banking entities because it allows them to operate legally in Switzerland and conduct their financial intermediation activities without facing regulatory sanctions. The license also provides credibility to these entities, as it demonstrates that they have met the necessary regulatory requirements set forth by FINMA.

In order to obtain a Swiss Para Banking SRO Licence, non-banking entities must fulfill a number of criteria. These include having a sound business model, adequate capitalization, a solid risk management framework, and compliance with anti-money laundering regulations. The entities must also be members of a recognized self-regulatory organization (SRO) in Switzerland, which acts as a supervisory body overseeing their activities.

Once a non-banking entity obtains a Swiss Para Banking SRO Licence, they are subject to ongoing supervision by both FINMA and their SRO. This ensures that they continue to operate in a compliant and transparent manner, and that they adhere to the rules and regulations set forth by the Swiss financial authorities.

One of the key benefits of holding a Swiss Para Banking SRO Licence is the ability to offer financial services to clients in Switzerland and across the European Union. This opens up new business opportunities for non-banking entities, allowing them to expand their customer base and increase their revenue streams.

Additionally, the license provides a level of trust and confidence to clients, as they know that the non-banking entity has undergone a rigorous regulatory review and meets the necessary standards to operate in the financial services industry. This can help attract new clients and build long-term relationships with existing ones.

Overall, the Swiss Para Banking SRO Licence is an essential regulatory requirement for non-banking entities looking to operate in the financial services industry in Switzerland. It provides legitimacy, credibility, and compliance with regulatory standards, while also opening up new business opportunities and increasing trust with clients. By obtaining this license, non-banking entities can position themselves as reputable players in the financial services market and contribute to the overall stability and integrity of the Swiss financial system.

### Services

- Traditional Asset Management (up to CHF 5 million).
- Financial Advisory and Client introductory services
- Credit transactions (in particular in relation to consumer loans or mortgages, factoring, commercial financing or financial leasing)
- Other services related to payment transactions, exchange, credit transactions, in particular: electronic transfers on behalf of third parties

GROSS REVENUE  
\$0

EBITDA  
\$0

BUSINESS TYPE  
Financial Services

COUNTRY  
Switzerland

BUSINESS ID  
L#20240643

- The company trade for own account or for other third parties in: banknotes and coins, money market instruments, foreign exchange, precious metals, commodities and securities (stocks and shares and value rights) as well as their derivatives;
- Commodity trading
- Forex trading
- Securities trading
- Depository services
- Remittance & Payment Services
- make investments as investment advisers
- hold securities on deposit or manage securities

#### Crypto Related Financial Services

- Trading, Exchange, and Brokerage Services
- Crypto Wallet Management Services
- Stacking Services, both off- and on-line
- Crypto or Fiat Payment & Merchant Solutions
- Crypto asset collateralized loans
- Staking-Backed Loans and crypto related Advisory Services.

*The information contained herein does not constitute an offer to sell or a solicitation of an offer or a recommendation to purchase securities under the securities laws of any jurisdiction, including the United States Securities Act of 1933, as amended, or any US state securities laws, or a solicitation to enter into any other transaction*

*The projected financial information contained in the Memorandum is based on judgmental estimates and assumptions made by the management of the target Company, about circumstances and events that have not yet taken place. Accordingly, there can be no assurance that the projected results will be attained. In particular, but without prejudice to the generality of the foregoing, no representation or warranty whatsoever is given in relation to the reasonableness or achievability of the projections contained in the Memorandum or in relation to the bases and assumptions underlying such projections and you must satisfy yourself in relation to the reasonableness, achievability and accuracy thereof.*

*By delivering this Memorandum, neither MergersUS Inc., nor its authorized agents are making any recommendations regarding the acquisition or strategies outlined herein. Interested parties shall exercise independent judgment in, and have sole responsibility for, determining whether an acquisition of the Company is suitable for them, and neither MergersUS Inc, nor its authorized agents have responsibility to, and will not, monitor the condition of interested parties to determine that an acquisition is or remains suitable for them. Among other things, suitability of an acquisition will depend upon an interested party's investment and business plans and financial situation.*

*This document is prepared for information purposes only. It is made available on the express understanding that it will be used for the sole purpose of assisting the recipients to decide whether they wish to proceed with a further investigation of the Proposed Transaction.*

*The recipients realize and agree that this document is not intended to form the basis of any investment decision or any other appraisal or decision regarding the Proposed Transaction, and does not constitute the basis for the contract which may be concluded in relation to the Proposed Transaction.*

*All information contained in this document may subsequently be updated and adjusted. MergersUS Inc. has not independently verified any of the information contained herein or on which this document is based. Neither the Company, nor its management or shareholders, nor MergersUS Inc. , nor any of their respective directors, partners, officers, employees or affiliates make any representation or warranty (express or implied) or accept or will accept any responsibility or liability regarding or in relation to the accuracy or completeness of the information contained in this document or any other written or oral information made available to any interested party or its advisers. Any liability in respect of any such information or any inaccuracy in or omission from the document is expressly disclaimed.*

[www.mergerscorp.com](http://www.mergerscorp.com)



© 2024 MergersCorp M&A International. All rights reserved.

© 2024 MergersCorp M&A International. MergersCorp™ M&A International is the collective brand name of independent affiliates of MergersCorp M&A International. For more details on the nature of our affiliation, please visit us on our website <https://www.mergerscorp.com/disclaimer>. MergersCorp M&A International is not a registered broker-dealer under the U.S. securities laws. MergersCorp M&A International does not offer or sell securities or provide investment advice or underwriting services. The articles or publications contained in this presentation are not intended to provide specific business or investment advice. The author or MergersCorp M&A International shall not be liable for any errors or omissions, or for any loss suffered by any person or organization acting or refraining from acting as a result of the content of this website. It is recommended that specific independent advice be sought before making any business or investment decision.



[WWW.MERGERSCORP.COM](http://WWW.MERGERSCORP.COM)