

# Swiss Asset Management (AM) and Financial Advisory FINMA





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Recent regulatory changes, in particular AML, FinIA, FinSA and regulations, have required Swiss financial intermediaries to make more in-depth documentation and organizational adjustments within relatively short deadlines. In order to minimize the requirements for asset manager, investment advisor and fiduciary licenses, we have developed an effective turnkey solution to manage the entire FinIA/FinSA compliance implementation process up to the time when an applicant is FINMA certified. Following the FINMA Enhanced Procedures and the outsourcing of compliance and risk functions in a broader sense, we are actively involved in the area of compliance remediation, providing support services, monitoring and outsourcing of compliance and risk functions to our clients in order to achieve the objectives of FinIA/FinSA, thus facilitating interactions with the custodian banks to stay up-to-date and compliant with regulatory and legal requirements.

Swiss Asset Management (AM) and Financial Advisory FINMA Sold

The Swiss asset management company sold is a joint stock company incorporated in Switzerland that provides services related to the professional management of private, institutional and corporate clients' assets on a discretionary or non-discretionary basis.

Swiss Asset Management has the ability to invest in all types of assets, complete turnkey company and delivery, protect shareholder identity, be a very efficient tax structure and be the right tool for succession planning.

Services

The AM license authorizes the company to (a) carry out credit transactions (in particular those related to consumer or mortgage loans, factoring, commercial financing or financial leasing); (b) provide services related to payment transactions, in particular electronic transfers of funds on behalf of other persons or persons issuing or managing means of payment such as credit cards and traveler's checks; (c) carry out transactions for itself or for others in banknotes and coins, money market instruments, foreign exchange, precious metals, commodities and securities (stocks and shares and value rights) and derivatives thereof; (d) managing assets; (e) making investments as an investment adviser; (f) holding deposit securities or managing securities.

Assets

Swiss joint-stock companies  
Bank accounts (Credit Suisse)  
Swiss resident director in place  
Compliance Officer in place  
Switzerland Corporate Website  
Switzerland Phone Number  
Requirements

The owner requires a minimum of three employees with 30% contracts and therefore expects an additional cost of between CHF 3,000 and CHF 5,000 per employee.

GROSS REVENUE  
TBD

EBITDA  
TBD

BUSINESS TYPE  
Financial Services

COUNTRY  
Switzerland

BUSINESS ID  
L#20230549

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